

9-10/31/01  
5235 U.S. PTO

9-10-01

A

## UTILITY PATENT APPLICATION TRANSMITTAL

Under Small Entity Status  
(New Nonprovisional Applications Under 37 CFR § 1.53(b))Attorney Docket No.  
013.0171.0111011 U.S. PTO  
09/29/2001  
03/31/01

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Dan Gallivan, entitled System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents, for a(n):

- Original Patent Application.
- Continuing Application (prior application not abandoned):  
 Continuation     Divisional     Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_ Filed on: \_\_\_\_\_
- A statement claiming priority under 35 USC § 120 has been added to the specification.

22895  
PATENT TRADEMARK OFFICE

Enclosed are:

- Specification; 24 Total Pages.       Drawing(s); 11 Total Sheets.
- Oath or Declaration:  
 A Newly Executed Combined Declaration and Power of Attorney:  
 Signed.       Unsigned.       Partially Signed.
- A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
 Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
- Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- Formal Drawings Transmittal Letter.       Return Receipt Postcard.
- Associate Power of Attorney.       A Check in the amount of \$899 for the Filing Fee.
- Assignment and Form 1619.       Information Disclosure Statement and Form PTO-1449.
- A Check in the amount of \$40 for the Recordation Fee.
- A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- Request and Certification Under 35 USC 122(b)(2)(B)(i)
- Applicant claims small entity status.
- Other: 1 printed publication reference

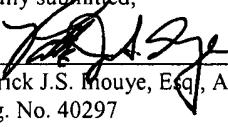
## CLAIMS AS FILED

FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	61	41	\$9.00	\$ 369.00
Independent Claims	4	1	\$40.00	\$ 40.00
Multiple Dependent Claims (if applicable)				\$135.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$355.00
			Total Filing Fee	\$ 899.00

**Applicant claims small entity status under 37 CFR 1.27.**

Charge \$ \_\_\_\_\_ to Deposit Account 501144 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By:   
Patrick J.S. Inouye, Esq., Attorney of Record  
Reg. No. 40297

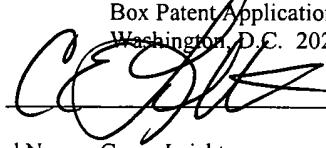
Date: August 31, 2001

Correspondence Address:

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I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: 

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Date of Deposit: August 31, 2001

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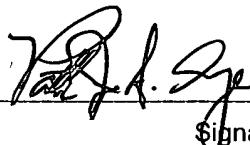
**REQUEST AND CERTIFICATION  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Gallivan, Dan
Title	System And Method For Dynamically Evaluating Latent Concepts In Unstructured Documents
Atty Docket Number	013.0171.01

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

8/31/01

Date



Signature

Patrick J.S. Inouye, Esq.

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**